

# Epithelial Cell Responses to Infection with Human Papillomavirus

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## INTRODUCTION

Human papillomaviruses (HPVs) are a large family of small, nonenveloped, double-stranded DNA viruses that are the cause of benign epithelial proliferations or warts. Until the early 1970s, it was assumed that there was only one HPV and that it was the cause of the various warty lesions that decorated a range of tissue sites; HPV was seen, except in rare circumstances (34), as causing unsightly but essentially trivial excrescences that, given time, would regress spontaneously. The advent of recombinant DNA technology and molecular cloning reversed this view, and within a decade, it became clear that there were multiple HPV types and that the warts on different tissue locations were caused by different HPV types with tropisms for mucosal or cutaneous squamous surfaces (56). It also became evident that HPV did not cause trivial disease only but that some members of the HPV family, particularly a subset infecting the anogenital tract, were true human carcinogens and were the cause of carcinoma of the cervix, the second most common cancer in women worldwide (33, 84).

At present, there are at least 180 HPV genotypes, numbered sequentially, that have been cloned from clinical lesions (6). HPVs are not classified into serotypes but into genotypes on the basis of DNA sequence. *In vitro* growth of HPV is problematic, and HPV infection is determined by the detection of HPV DNA in biopsy specimens, swabs, or scrapes from mucosal or cutaneous surfaces, using sensitive molecular hybridization methods. HPVs have a predilection for either cutaneous or mucosal epithelial surfaces and fall into two groups: low-risk types that predominantly cause benign warts and high-risk types that may result in malignant disease as an uncommon consequence of infection. This risk profile is shown clearly in the genital tract, where 30 to 40 HPVs regularly or sporadically infect the mucosal epithelium in men and women. The two most common low-risk mucosal HPVs are

HPV6 and -11, which together cause about 90% of genital warts and almost all recurrent respiratory papillomas (RRP), as well as a proportion of low-grade cervical intraepithelial neoplasms (CIN1), vulval and vaginal intraepithelial neoplasms of grade 1 (VIN1 and VAIN1, respectively), and anal intraepithelial neoplasms of grade 1 (AIN1) (42).

High-risk HPVs are strongly associated with anogenital cancers (particularly carcinoma of the cervix), with a subset of head and neck cancers (59), and with the high-grade intraepithelial precursor lesions of anogenital cancers, such as CIN2/3, VIN2/3, and AIN2/3. Overall, it is estimated that 5.2% of all cancers are attributable to HPV. There are 15 recognized high-risk or oncogenic genital HPVs; HPV16 is the most prevalent type detected in HPV-associated cancers, followed by HPV18. Together, HPV16 and -18 are the cause of 70% of cervical cancers worldwide (8).

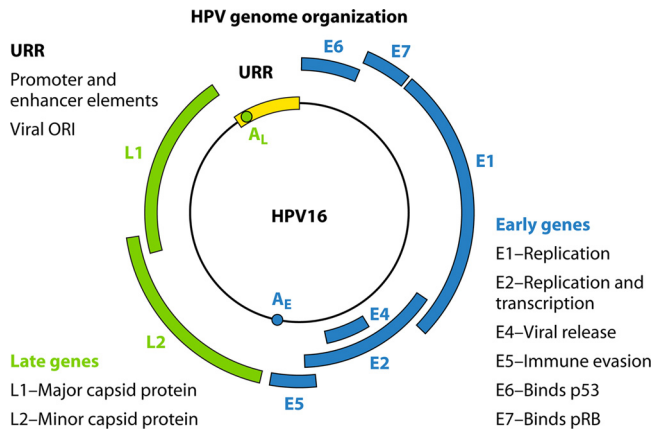
## HPV, A SUCCESSFUL PATHOGEN

HPVs are very successful infectious agents. They induce chronic infections that have virtually no systemic sequelae, rarely kill the host, and periodically shed large amounts of infectious virus, over weeks and months, for transmission to naive individuals. To achieve this evolutionarily successful lifestyle, HPVs must avoid host defense systems, and the key to understanding how this is achieved is the virus replication cycle, which is itself an immune evasion mechanism that inhibits and delays the host immune response to HPV infection.

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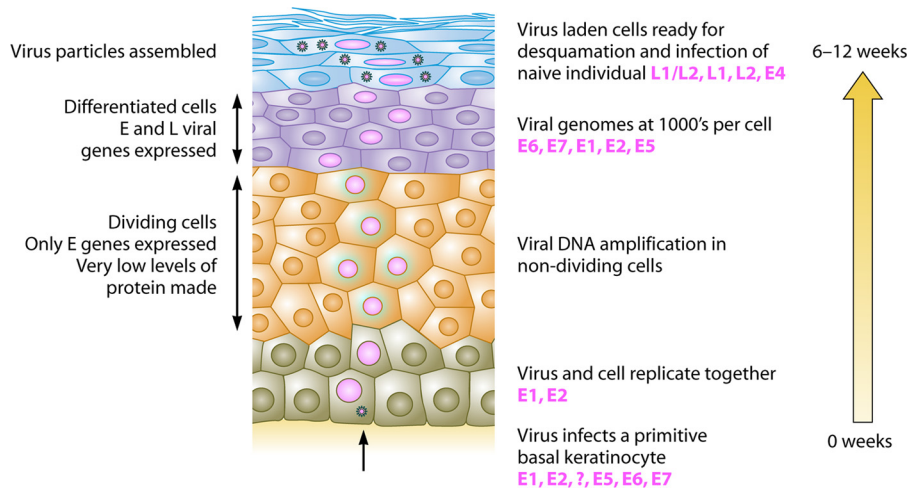
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**FIG 1** Cartoon illustrating the genomic organization of a typical mucosal high-risk HPV. The genome contains early and late regions, which relate to the positions of the genes within the genome and their timing of expression during the viral life cycle. The early region carries a number of genes which function at the level of viral replication and transcription, i.e., E2, E1, E6, and E7. E2 encodes a protein which has an auxiliary role in viral replication and also functions at the level of transcriptional regulation of the viral early genes. Many studies have shown that it is a negative regulator of viral gene expression. The E6 and E7 genes encode the major transforming proteins of the oncogenic HPVs, but it should be noted that although they are transforming proteins, they also have important roles in the normal viral life cycle. The late region encodes viral structural proteins, with L1 being the major capsid protein and L2 being the minor capsid protein. Control of early gene transcription and replication is conferred by the upstream regulatory region (URR), which contains promoter and enhancer elements as well as the viral origin of replication. Here the transcriptional apparatus assembles and generates polycistronic transcripts which utilize the early polyadenylation signal.

## HPV Infectious Cycle

HPVs are exclusively intraepithelial pathogens, and infection and vegetative virus growth are absolutely dependent upon the expression of the complete program of keratinocyte differentiation (23). In simple terms, it is thought that virus infects primitive basal keratinocytes, probably wound keratinocytes, which probably assume the stem cell phenotype during the wounding process. However, high-level viral gene expression with viral protein production and virus assembly occurs only in the upper differentiated layers of the stratum spinosum and granulosum of squamous epithelia (12). Viral gene expression is confined to the keratinocyte; there is no evidence that viral genes are expressed in any cell other than keratinocytes, and importantly, there is a differential spatial and temporal pattern of HPV gene expression in the infected epithelium (Fig. 1 and 2) (72). After infection of wound basal cells, it is thought that there is a round of viral DNA replication that appears to be independent of the cell cycle and amplifies the viral copy number to around 50 to 100 copies per cell. The infected cell is thought to then leave this primitive compartment and enter the transit amplifying proliferative compartment of the epithelium, where there is a phase of plasmid or episomal maintenance when the viral copy number remains constant and viral gene expression is minimal. In this phase of the viral life cycle of the high-risk HPVs, the expression of the potent oncogenes E6 and E7 is under very tight control, and E6 and E7 transcripts of high-risk HPV types are barely detectable in the proliferating compartment of the epithelium. When the infected keratinocyte enters the differentiating compartment, in the stratum spinosum exiting the cell cycle, then there is a massive upregulation of viral gene expression and viral DNA replication, with amplification of the viral copy number to many thousands of copies per cell, abundant expression of



**FIG 2** Papillomaviruses are absolutely species specific and tissue specific. HPV will infect and replicate in a fully differentiating squamous epithelium only. The virus infectious cycle is rather complex and can explain the duration of an HPV infection. It involves both temporal and spatial separation of viral protein expression. The virus first infects a keratinocyte in the basal layer of the epithelium as a consequence of microtrauma, i.e., an abrasion of the epithelium that exposes the basement membrane and basal cells. In the proliferative compartments of the epithelium, there is a phase of plasmid maintenance, the virus and cell replicate together, and the viral copy number is maintained at around 50 to 100 copies in the daughter cells. For the oncogenic viruses, in particular, viral gene expression is very tightly controlled during this phase. As long as the cell is dividing, the high-risk HPVs control the expression of their viral proteins very tightly. The E6 and E7 oncogenes are thus expressed at very low levels. When the host cell stops dividing and begins to differentiate into a mature keratinocyte, this provides a signal to the virus to activate all of its genes to increase the viral genome copy number to the thousands. In the case of incipient malignancy, control of E6 and E7 expression is lost, and gene expression in the cell becomes deregulated. In the top layers of the epithelium, all of the viral genes, including those encoding the L1 and L2 proteins, are expressed, and many thousands of viral genomes are encapsidated; these exit the cell as infectious virus particles. The time taken from infection to the generation of infectious virus is at least 3 weeks. HPV thus has a very long infectious cycle, has no blood-borne phase, and does not cause cell death.

the E6 and E7 early genes, and expression of late genes from the late promoter (12, 24).

**HPV gene expression.** It is important to recognize that these events occur in cells that are differentiating and have, to all intents and purposes, exited the cell cycle. The papillomavirus genome is small, and the viruses encode only one DNA replication enzyme, E1; apart from this and the viral E2 protein, replication is totally dependent upon the cellular DNA synthetic machinery (Fig. 1 and 2). The challenge for the virus is that the cellular DNA polymerases and other replication factors are produced only in mitotically active cells. To solve this problem, HPVs encode proteins that, in the context of the viral life cycle, initiate cellular DNA synthesis in noncycling cells, inhibit the apoptosis that would otherwise ensue, and delay the differentiation program of the infected keratinocyte, creating an environment permissive for viral DNA replication (Fig. 1). Central to these functions are the E6 and E7 genes (49). The E7 gene of the high-risk viruses binds the unphosphorylated form of the retinoblastoma protein, overriding the G<sub>1</sub>/S checkpoint of the cell cycle. The E6 gene of the high-risk HPVs binds p53 and targets p53 for ubiquitination. The combination of these events overrides cell cycle checkpoints and allows for viral DNA replication in noncycling cells. An unfortunate but rare by-product of this is the deregulation of growth control in the infected cell and the development of cancer.

### HPV IMMUNE EVASION STRATEGIES

The infectious cycle of HPVs is tailored to the differentiation program of the target cell, the keratinocyte, which raises several important issues with respect to immune recognition. First, infection and vegetative growth are completely dependent upon the program of keratinocyte differentiation, from basal cell to terminally differentiated superficial squames. The full infectious cycle takes a long time, and even in the optimal scenario the time from infection to virus release takes about 3 weeks, since this is the time taken for the basal keratinocyte to move up through the epithelium, undergo complete differentiation, and desquamate. In reality, the period between infection and the appearance of lesions is highly variable and can range from weeks to months, suggesting that the virus does effectively evade host defenses. In addition, there is no cytolysis or cytopathic death as a consequence of virus replication and assembly. These key events for the virus occur in the fully differentiating keratinocyte, a cell destined for death and desquamation far from the sites of immune activity. Thus, there is no virus-induced cell death and therefore no inflammation, and for most of the duration of the HPV infectious cycle, there appears to be little or no release of proinflammatory cytokines, important for activation and migration of antigen-presenting cells (APCs), into the local milieu (69). HPV is an exclusively intraepithelial pathogen, there is no blood-borne or viremic phase of the life cycle, and only minimal amounts of virus are exposed to immune defenses (Fig. 3). In effect, the virus is practically invisible to the host defenses, which remain ignorant of the presence of the pathogen for long periods.

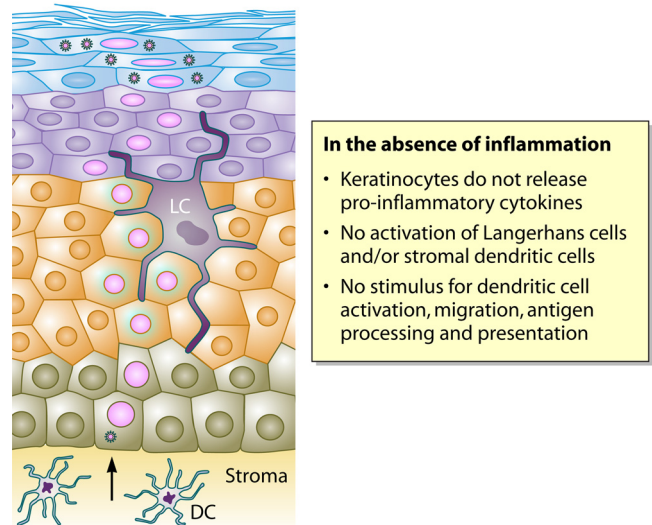
### HPV Compromises Innate Defenses in Keratinocytes

Central to this achievement is the ability of HPVs, particularly the high-risk HPVs, to compromise the ability of keratinocytes as innate immune sentinels. Keratinocytes can respond to cell injury and cell stress and can sense pathogens, thus mediating immune responses (53). Eukaryotic cells express germ line-encoded recep-

### Infectious Cycle of High Risk HPVs

Very low levels of protein, no viremia  
No cell death, no inflammation

HPV globally downregulates innate immune sensors in keratinocytes  
HPV E6 and E7 genes down-regulate type 1 interferon response



### HPVs evade the innate immune response and delay activation of adaptive immunity

**FIG 3** HPV is efficient at evading recognition. The virus can globally downregulate keratinocyte innate immune sensors and suppress the type I interferon response, which is critical for the control of viral infection. There is no viremia and no virus-induced cell death; hence, there is no inflammation or danger signal to the immune system.

tors of the innate immune system, pathogen recognition receptors (PRRs), that recognize invariant molecular motifs known as pathogen-associated molecular patterns (PAMPs) (46). Toll-like receptors (TLRs) are perhaps the best studied of PRRs, and ligation of TLRs results in the activation of host signaling pathways mediated via Mal/Myd88 or TRIM/TRIF adaptor molecules and in the initiation of both innate and adaptive immune responses (1). Genital tract keratinocytes express several TLRs, located either on the cell surface (TLR1, TLR2, TLR4, TLR5, and TLR6) or in the endosome (TLR3 and TLR9) (52). TLR7 expression is induced on keratinocytes by triggering TLR3 with double-stranded RNA (a feature of viral infections), thus activating interferon (IFN) response genes (36). Crucially, activation of TLRs on keratinocytes leads to the production of type I interferons and to predominantly Th1-type cytotoxic responses (48).

**Downregulation of interferon responses.** The type I interferons, principally IFN- $\alpha$  and IFN- $\beta$ , have antiviral, antiproliferative, antiangiogenic, and immunostimulatory properties and act as a bridge between innate and adaptive immunity (43). Most DNA viruses have mechanisms for inhibiting interferon synthesis and signaling, and the papillomaviruses are no exception. High-risk HPV infection downregulates IFN- $\alpha$ -inducible gene expression, and the HPV16 E6 and E7 oncoproteins interact directly with components of the interferon signaling pathways. Thus, E7 inhibits IFN- $\alpha$ -mediated signal transduction by binding to P48/IRF-9, preventing translocation to the nucleus and thereby inhibiting the formation of the ISGF-3 transcription complex that

binds the interferon-specific response element (ISRE) in the nucleus (3). E7 also interferes with intermediate IFN-mediated signals by physically associating with IRF-1, inhibiting IRF-1-mediated activation of the IFN- $\beta$  promoter for recruitment of histone deacetylase to the promoter, thereby preventing transcription (58). *In vivo* expression of HPV18 E7 results in reduced expression of IRF-1 target genes, such as the TAP1, IFN- $\beta$ , and MCP-1 genes, by inhibition of the transactivating function of IRF-1 (75). The E6 protein of HPV also targets the interferon pathway. E6 binds to IRF-3 and inhibits its transcriptional activation function, thereby preventing transcription of IFN- $\alpha$  mRNA (65). E6 binds to TYK2, preventing binding to the cytoplasmic portion of the IFN receptor and inhibiting phosphorylation of TYK2, STAT1, and STAT2, impairing JAK-STAT activation and therefore specifically inhibiting IFN- $\alpha$ -mediated signaling (37).

**Interferon response and progression in cervical neoplasia.** In productive viral infection, HPV exists as a nuclear episome, but the integration of high-risk HPV DNA into the host genome is an important step in neoplastic progression in the cervix (60, 76, 78). Integration usually causes deletion or disruption of the viral regulatory E2 gene while retaining a variable segment of the HPV genome but always including the E6 and E7 oncogenes and the upstream regulatory region (2, 25). In the HPV16-containing W12 cervical keratinocyte cell line, which mimics the CIN-invasive carcinoma spectrum *in vitro*, HPV16 integration with consequent disruption/deletion of E2 leads to increased expression of the viral oncogenes (62). Cells containing integrated high-risk HPV acquire a strong growth advantage over cells harboring episomal high-risk HPV, and they undergo clonal expansion (30, 32). These cells also show increased genomic instability and therefore have a greater probability of acquiring the secondary genomic abnormalities that may drive malignant progression (61). Episome loss in these cells is closely associated with endogenous activation of antiviral response genes that are also inducible by the type I IFN pathway. Exogenous IFN- $\beta$  can dramatically hasten the transition from episomal to integrated HPV16 in W12 keratinocytes, with clearance of episomes through noncytolytic mechanisms permitting the emergence of clones with integrated HPV16; these cells are resistant to IFN- $\beta$ -mediated growth inhibition (32).

**HPV globally downregulates keratinocyte cytokine responses in episome-containing keratinocytes.** A second group of PRRs, encoded by the nucleotide binding domain-, leucine rich repeat-containing (NLR) gene family, recognize PAMPs and endogenous signals or damage-associated molecular patterns (DAMPs), elicited during cell injury and stress. Activation of the NLRs results in the activation of proinflammatory signaling pathways and procaspase 1 (44). The assembly of the inflammasome leads to the activation of caspase 1, which then cleaves pro-interleukin 1 $\beta$  (pro-IL-1 $\beta$ ) and pro-IL-18. Keratinocytes constitutively secrete or can be induced to secrete several cytokines, including interleukin 1, interleukin 6, interleukin 10, interleukin 18, and tumor necrosis factor (4, 53). Interleukin 1 is a key keratinocyte cytokine with a broad range of pleiotropic effects, including activation of T helper cells and dendritic cells (DCs) and the promotion of B cell maturation and clonal expansion. Under normal conditions, keratinocytes synthesize both pro-IL-1- $\beta$  and pro-IL-1 $\alpha$  but cannot process and secrete them in their activated form. After inflammasome activation, processing and secretion of IL-1 $\beta$  (as the activated cytokine) occur. The situation with respect to IL-1 $\alpha$  is less clear, with evidence that secretion of the mature cytokine does not

require inflammasome activation (4). The secretion of proinflammatory cytokines by keratinocytes is central to the activation of tissue resident immune cells, such as Langerhans cells (LCs) and macrophages, and the recruitment of effector T cells (7), all of which kick start adaptive immune responses to the local injury or infection.

Recent evidence shows that HPV infection dampens these crucial responses almost from the start of the infectious cycle and that interleukin 1 $\beta$  and interleukin 6 are central to this. Using genome-wide expression profiling of foreskin and cervical keratinocytes in which HPV16 or -18 episomes were maintained, Karim and colleagues (38) provided evidence of downregulation of an array of proinflammatory and chemotactic cytokines as well as antigen-processing and -presenting molecules. Interleukin 1 $\beta$  and interleukin 6 were central to the HPV-associated gene networks. Importantly, HPV episomal maintenance in this system did not abolish these key responses but significantly reduced them. Paradoxically, several antiviral response genes were upregulated in the HPV episome-maintaining cells, and these phenomena emphasize the importance of recognizing the stage of the life cycle that the various experimental systems reflect. The *in vitro* HPV-infected cells used in these studies represent the phase in the infectious cycle in which HPV episomes are maintained at a constant copy number in actively dividing basal and parabasal cells, with minimal early gene expression and rigorous control of E6/E7 expression. An important question to be addressed is what consequences the upregulation of early gene expression, particularly that of E6 and E7, has on innate immune responses in the upper and intermediate layers of the stratum spinosum. It may be that in these cells the downregulation of interferon expression becomes extremely important in determining recognition of HPV infection.

#### HPV Interactions with DCs (Professional APCs)

Since HPV infections are exclusively intraepithelial, HPV antigens theoretically should be processed and presented by the professional APCs of squamous epithelia, the LCs, which reside in the parabasal and lower suprabasal layers of squamous epithelia. Viral capsid entry is usually an activating signal for dendritic cells, but there is evidence that LCs are not activated by the uptake of HPV capsids. LCs incubated with HPV16 L1 virus-like particles (VLPs) do not initiate epitope-specific immune responses against L1-derived antigens and, in effect, are tolerized by VLP uptake (26). In contrast, stromal DCs are activated by VLPs and stimulate HPV-specific T cells (16). As far as it is known, HPV gene expression is confined to keratinocytes, and therefore cross presentation of HPV antigens by LCs or other dendritic cells is critical for induction of effector T cell responses to nonstructural HPV proteins. Human LCs have been shown to prime and cross-prime naive CD8<sup>+</sup> cells (47), but recent data from the mouse suggest that in the skin the important cross-presenting APCs are the langerin<sup>+</sup> CD103<sup>+</sup> DCs (5), a subset most likely of dermal origin. Dermal DCs and macrophages recruited to HPV-infected epithelium may be key players in the recognition of HPV antigens and the induction of effector responses. However, the suboptimal codon usage by HPV (83) that results in very low protein levels in infected cells could provide a further constraint on the effectiveness of cross presentation by intraepithelial DCs.

## IMMUNE RESPONSE TO HPV IN NATURAL INFECTIONS

Despite the best efforts of the virus to evade host defenses, at least 80 to 90% of genital HPV infections will resolve with time (50). Anogenital warts and CIN1 lesions regress as a result of a successful cell-mediated immune response directed against early viral proteins, specifically the E2 and E6 proteins (21, 77, 80). Immunohistochemical studies clearly show that regression of oral warts in natural papillomavirus infections in dogs (35, 55) and of anogenital warts in humans (13) is accompanied by a massive infiltration into the lesion of mononuclear cells (CD4<sup>+</sup> CD8<sup>+</sup> CD56<sup>+</sup> macrophages) and expression of Th1 cytokines (70). However, despite this intense local response, systemic antigen-specific T cell responses are weak and often transient (35).

The cellular effectors in these responses are still not identified unequivocally. In a 12-month prospective study of histologically confirmed CIN1 lesions, regression during the study period was strongly correlated with the presence at study entry of intraepithelial granzyme B<sup>+</sup> CD8<sup>+</sup> and granzyme B<sup>+</sup> CD56<sup>+</sup> cells (79). Immunohistochemical studies showed CD8<sup>+</sup> T cells expressing the  $\alpha 4/\beta 7$  integrin to be present in CIN1/koilocytic cervical lesions but absent or present in reduced numbers in CIN3 lesions (45). In a recent, very elegant study directly analyzing cervical lymphocytes in lesions by both fluorescence-activated cell sorting (FACS) and immunohistochemistry, it was shown that virtually all intraepithelial cervical lymphocytes express  $\alpha 4/\beta 7$ , the mucosal homing receptor for lymphocytes. Lesion regression assessed retrospectively in this study correlated with the presence at study entry of intraepithelial CD8<sup>+</sup> T cells (74). Importantly, lesion regression could be predicted retrospectively by the expression of the ligand for  $\alpha 4/\beta 7$ , mucosal addressin cell adhesion molecule 1 (Mad-CAM1), on the vascular endothelium in dysplastic lesions.

## Immune Responses Are Deregulated during HPV-Associated Neoplastic Progression

A more complete picture of events leading to progression of HPV-infected lesions in the cervix is emerging from these data. About 10 to 20% of individuals develop persistent cervical HPV infection and remain HPV DNA positive; it is this group that are at high risk for progression to CIN2/3 (50). In these persistent HPV infections, the absence of cell death means that the inflammatory signals that would activate intraepithelial APCs such as LCs and recruit stromal DCs and macrophages to the epithelium and plasmacytoid DCs to the infected focus are absent. Furthermore, HPVs downregulate innate sensing signaling pathways in the infected keratinocyte (38); proinflammatory cytokines, particularly the type I interferons, are not released; and again, the signals for Langerhans cell activation and migration and the recruitment of stromal dendritic cells and macrophages are either not present or inadequate. In this scenario, there are long periods of uninterrupted virus replication in the epithelium, during which the host is ignorant of virus. This is a high-risk strategy for the host when the infection is with an oncogenic genital HPV, as it increases the risk of "accidents" in virus replication that result in the deregulated expression of the viral E6 and E7 oncoproteins, the bypassing of cell cycle checkpoints, and neoplastic transformation. With neoplastic transformation and genomic instability, the expression of key cytokines, adhesion molecules, chemokines, and chemokine receptors on the infected epithelium and on the underlying microvascular endothelium of the stroma is deregulated (14, 15),

resulting in the downregulation of key receptors essential for the ingress of antigen-specific T cells and other cytotoxic effectors into the epithelium (74). Thus, even if HPV antigen-specific cytotoxic cells have been generated, their ingress into the epithelium is poor and regulatory T cells increasingly dominate the lesions and abrogate the killer effector response (40, 41, 79).

## HUMORAL RESPONSE TO HPV INFECTION

HPV-induced lesion regression is due to a cell-mediated immune response to early proteins. In animal infections, this cell-mediated immune response is closely followed by seroconversion and production of antibodies to the major coat protein, L1 (54), and this is probably also true in humans (11). Antibody concentrations achieved in animals and humans are low, and many women do not seroconvert, although this observation should be tempered by the recognition that the current methods of measuring HPV antibody concentration are not standardized and are relatively insensitive, with low signal-to-noise ratios. There is no viremia in natural infections, and free virus particles are shed from the surfaces of squamous epithelia, with poor access to vascular and lymphatic channels and thus to lymph nodes, where immune responses would be initiated. This is reflected in the time taken for seroconversion, which for an HPV16 infection is 9 months, on average, after the first detection of HPV DNA in the cervical scrape (10).

## HPV VACCINES

One might well ask why, if natural antibody responses are so poor, should vaccines that generate serum neutralizing antibodies be protective? However, Shope showed more than 60 years ago that neutralizing antibodies protected rabbits against high-dose viral challenge with cottontail rabbit papillomavirus (CRPV) (68). In Shope's experiments, if rabbits were infected systemically with CRPV by direct injection of virus into the muscle or into the bloodstream, papillomas did not arise on the skin but neutralizing antibodies were generated in these animals. If the immunized animals were then challenged with a high viral dose by abrasion of the epithelium, the animals were completely resistant and no papillomas arose. This and other data suggested very strongly that generating serum neutralizing antibody to the virus capsid protein would be an effective prophylactic vaccine strategy, and this has proved to be so. The currently available HPV vaccines are subunit vaccines consisting of VLPs assembled from the major coat proteins (L1) of HPV16 and HPV18 only or of HPV16/18/6 and -11. These prophylactic HPV vaccines have been shown to be highly efficacious in randomized controlled trials (27, 57). HPV VLPs induce very high concentrations of neutralizing antibodies to L1 (at least 2 to 4 log higher than those in natural infections) (31). The vaccines are delivered intramuscularly, resulting in rapid access to the local lymph nodes and thus circumventing the immune avoidance strategies of the viral intraepithelial infectious cycle. As a result of the repeat structure of capsomers across the particle surface, VLPs are highly immunogenic, inducing potent antibody responses in the absence of adjuvant due to their ability to activate both innate and adaptive immune responses (81, 82).

## Mechanism of Protection

The mechanism of protection afforded by these vaccines is assumed to be via antibody. However, at present, there is no immune correlate of protection, though virtually all vaccinated individuals have seroconverted and there have been no obvious

vaccine breakthroughs. The most unequivocal evidence that the mechanism of protection elicited by VLPs is by serum antibody comes from experiments with rabbits and dogs. In these experiments, it was shown that naive animals passively immunized with purified serum IgG from either VLP-immunized (9, 73) or naturally infected (28) animals were completely protected against high-dose viral challenge. The mechanism by which VLP-induced serum antibodies can effect protection against an exclusively intraepithelial infection is not immediately apparent. HPVs infect cells in the basal layer of squamous epithelium at multiple sites in the anogenital tract, including the cervical squamo-columnar junction, the portio surface of the cervix, the upper and lower epithelia of the vagina, multiple sites on the vulva, the perianal and intra-anal mucosa, the penile shaft, and scrotal skin. The squamo-columnar junction is bathed in cervical mucous secretions which contain antibody, and it could be argued that surface neutralization by antibody in these secretions would be the mechanism of protection. This mechanism must certainly contribute to protection against HPV infection in the cervix and vagina but cannot explain vaccine-mediated protection of the well-keratinized and comparatively dry surfaces of the vulva, penis, and perianal skin (22, 29).

**Virus entry into basal keratinocytes.** Virus neutralizing antibody prevents virus entry into cells. Thus, the questions to be addressed are as follows: how does HPV access and infect the basal cell of stratified squamous epithelium, and how do neutralizing antibodies to L1 prevent this? These questions have been addressed in a series of elegant experiments with a cervicovaginal model of infection using a surrogate virus or pseudovirion (64). Pseudovirions are VLPs comprising both coat proteins (L1 and L2) that have packaged a DNA plasmid encoding a reporter molecule, such as an enzyme or a fluorescent protein, whose expression allows the pseudovirion to be tracked in cells or tissues. In these experiments, female mice (and macaque monkeys) were treated with progesterone for 4 days. The squamo-columnar junction was abraded with a cytobrush, HPV pseudovirions were applied to the junction, and the fate of these was tracked by confocal microscopy. These studies showed that only microabrasion that resulted in the removal of the full thickness of the epithelium but retention of the epithelial basement membrane (BM) permitted infection of basal cells, since pseudovirions attached first to the BM before entering the basal cells. This sequence of events provides a mechanistic explanation for antibody protection and potentially for a recall response in natural infection. The micro-wound from epithelial denudation would almost immediately induce serous exudation into the wound bed (63). This would be rich in large serum proteins, including IgGs, together with phagocytes and immunocytes, including B memory cells, of which there is a small circulating population allowing for both virus neutralization and memory recall.

**Both capsid proteins L1 and L2 mediate virus entry into keratinocytes.** The virus capsid consists of two proteins, L1 and L2; the L1 protein is assembled into 72 pentamers that stud the surface of the particle. Protective serum neutralizing antibody responses in natural infections in animals and humans are specific to L1 and are type specific. The L2 protein is deep within the pentamer, and antibody responses to L2 have not been reported for natural infections. However, it turns out that both L1 and L2 are necessary for virus entry, and details on this mechanism have come from experiments with L1 and L2 HPV16 pseudovirions *in vitro* and

with the *in vivo* cervicovaginal challenge model (17, 19, 20, 39). The sequence of events and virus entry appears to be as follows. Virus binds to heparin sulfate proteoglycans in the epithelial basement membrane via L1. The virus capsid then undergoes a conformational change allowing the exposure of L2, which is then cleaved at a specific furin site at the N terminus. This newly exposed site on L2 binds to surface molecules on the wound keratinocyte, and it is speculated that there the capsid undergoes a further conformational change and that the cellular receptor binding site on L1 is exposed or made more accessible. The virus binds via L1 to the cellular receptor, and cell entry is achieved. *In vivo* experiments indicate that viral entry is a very protracted process requiring 24 to 48 h before entry into the wound keratinocyte, and this is supported by *in vitro* data (67). Certainly, if the *in vivo* challenge model is an accurate reflection of HPV entry, and if wounding followed by reepithelization is a prerequisite, then several hours will elapse between viral binding to the BM and entry into the wound epithelial cell.

**How and when do neutralizing antibodies prevent viral entry?** The question of how and when neutralizing antibodies prevent viral entry has been addressed using the cervicovaginal infection model, and in essence, the data suggest that after HPV16 L1 VLP immunization, antibodies that prevent both the initial binding to the BM and binding to the keratinocyte cell surface are generated (18). Passive immunization experiments with this model suggest that these neutralizing antibodies to L1 are effective at very low concentrations, consistent with data from animal papillomavirus models (71) and from natural infections in humans (66).

The protracted presence of extracellular virus during infection raises the question of why seroconversion occurs so late in natural infections when there apparently is adequate opportunity for priming via capsid recognition and transport, if not by LCs, then by stromal DCs and macrophages entering the microwound bed. The retention of the basement membrane, a characteristic of blister wounds, is probably important in this scenario. Wounds retaining the BM are characterized by a complex cytokine milieu in which transforming growth factor beta (TGF- $\beta$ ), an immunosuppressive cytokine, is expressed by keratinocytes in the first 12 to 24 h postwounding (51). This cytokine milieu may contribute to the poor priming in HPV infections.

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